

**INFORMATION DISCLOSURE  
CITATION**

ATTY. DOCKET NO.

**SERIAL NO.**

4398-284

10/661,570

**APPLICANT**

KWOK et al.

FILING DATE

TC/A.U.

September 15, 2003

3761

**U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

[illegible]

**\*Examiner**

**Date Considered**

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

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Atty. Dkt. No.	M#	Client Ref.
4398-	284	

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: KWOK et al.

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Examiner: D. Erez

Group Art Unit 3761

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JE	1R	1,926,027	09/1933	BIGGS			
	2R	2,123,353	07/1938	CATT			
	3R	2,248,477	07/1941	LOMBARD			
	4R	2,254,854	09/1941	O'CONNELL			
	5R	2,371,965	03/1945	LEHMBERG			
	6R	2,438,058	03/1948	KINCHELOE			
	7R	2,931,356	04/1960	SCHWARZ			
	8R	Des. 188,084	05/1960	GARELICK			
	9R	2,939,458	06/1960	LUNDQUIST			
	10R	3,189,027	06/1965	BARTLETT			
	11R	3,238,943	03/1966	HOLLEY			
	12R	3,315,674	04/1967	BLOOM et al.			
	13R	3,330,273	07/1967	BENNETT			
	14R	3,362,420	01/1968	BLACKBURN et al.			
	15R	3,363,833	01/1968	LAERDAL			
	16R	3,556,122	01/1971	LAERDAL			
	17R	3,700,000	10/1972	HESSE, Deceased et al.			
	18R	3,796,216	03/1974	SCHWARZ			
	19R	Des. 231,803	06/1974	HUDDY			
	20R	4,077,404	03/1978	ELAM			
	21R	Des. 250,131	10/1978	LEWIS et al.			
	22R	4,167,185	09/1979	LEWIS			
	23R	4,226,234	10/1980	GUNDERSON			

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						Enclosed	No	Enclos	No
	24R								

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):**

JE	25R	Mask 1 Photographs, Respironics Inc., Reusable Full Mask (small) Part #452033 Lot #951108.
	26R	Mask 2 Photographs, Puritan - Bennett, Adam Curcuit, Shell Part #231700, Swivel Part #616329-00, Pillows (medium) Part #616324.
	27R	Mask 3 Photographs, DeVilbiss Healthcare Inc., Devilbiss Seal-Ring and CPAP Mask Kit (medium), Part #73510-669.
	28R	Mask 4 Photographs, Respironics Inc., Monarch Mini Mask with Pressure Port. Part #572004, Monarch Headgear, Part #572011.

Examiner: *[Signature]*

Date Considered: 9/27/04

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DE	29R 4,245,632	01/1981	HOUSTON			
	30R 4,304,229	12/1981	CURTIN			
	31R Des. 262,322	12/1981	MIZERAK			
	32R 4,328,797	05/1982	ROLLINS et al.			
	33R 4,347,205	08/1982	STEWART			
	34R 4,354,488	10/1982	BARTOS			
	35R 4,402,316	09/06/83	GADBERRY			
	36R 4,412,537	11/01/83	TIGER			
	37R 4,522,639	06/11/85	ANSITE, et al.			
	38R 4,622,964	11/18/86	FLYNN			
	39R 4,655,213	04/07/87	RAPOPORT et al.			
	40R 4,665,570	05/19/87	DAVIS			
	41R 4,671,271	06/09/87	BISHOP, et al.			
	42R 4,677,977	07/07/87	WILCOX			
	43R Des. 293,613	01/05/88	WINGLER			
	44R 4,770,169	09/13/88	SCHMOEGNER et al.			
	45R 4,774,941	10/04/88	COOK			
	46R 4,782,832	11/08/88	TRIMBLE et al.			
	47R 4,821,713	04/18/89	BAUMAN			
	48R 4,841,953	06/27/89	DODRILL			
	49R 4,848,334	07/18/89	BELLM			
	50R 4,848,366	07/18/89	AITA, et al.			
	51R 4,907,584	03/13/90	MCGINNIS			

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Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
				Enclosed	No
52R				Enclose	No

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

DE	53R	Mask 5 Photographs, Healthdyne Technologies, Nasal CPAP Mask (medium narrow), Part #702510.
	54R	Mask 6 Photographs, Healthdyne Technologies, Soft Series Nasal CPAP Mask, Part #702020.
	55R	Mask 7 Photographs, DeVilbiss Healthcare Inc., Small Mask and Seal Rings, Part #73510-668.
	56R	Mask 8 Photographs, Respiroics Inc., Reusable Contour Mask (medium), Part #302180.

Examiner

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FORM PTO-1449 (modified)  
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	58R	Des. 310,431	09/04/90	BELLM			
	59R	4,971,051	11/20/90	TOFFOLON			
	60R	4,989,599	02/05/91	CARTER			
	61R	5,005,571	04/09/91	DIETZ			
	62R	5,005,568	04/09/91	LOESCHER, et al.			
	63R	5,038,776	08/13/91	HARRISON et al.			
	64R	5,042,473	08/27/91	LEWIS			
	65R	5,042,478	08/27/91	KOPALA, et al.			
	66R	5,109,840	05/05/92	DALEIDEN			
	67R	5,133,347	07/28/92	HUENNEBECK			
	68R	5,140,982	08/25/92	BAUMAN			
	69R	Des. 334,633	04/06/93	RUDOLPH			
	70R	5,243,971	09/14/93	SULLIVAN et al.			
	71R	5,265,595	11/30/93	RUDOLPH			
	72R	5,279,289	01/18/94	KIRK			
	73R	5,343,878	09/06/94	SCARBERRY et al.			
	74R	5,357,951	10/25/94	RATNER			
	75R	5,419,318	05/30/95	TAYEBI			
	76R	5,429,683	07/04/95	LE MITOUARD			
	77R	5,431,158	07/11/95	TIROTTA			
	78R	5,438,981	08/08/95	STARR et al.			
	79R	5,441,046	08/15/95	STARR et al.			

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		Document Number	Date MM/YYYY	Country	Inventor Name		Enclosed	No	Enclose	No
	80R									

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

DE	81R	Mask 9 Photographs, Healthdyne Technologies, Healthdyne Large Headgear.
	82R	Mask 10 Photographs, Respironics Inc., Soft Cap (medium), Part #302142.
	83R	Mask 11 Photographs, Weinmann: Hamburg, Nasal maskensystem mit Schalldämpfer (medium), Part #WN 23105.
	84R	Mask 12 Photographs, Life Care.

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JK	85R	Des. 362,061	09/05/95	MCGINNIS et al.			
	86R	5,477,852	12/26/95	LANDIS et al.			
	87R	5,488,948	02/06/96	DUBRUILLE. et al.			
	88R	5,492,116	02/20/96	SCARBERRY et al.			
	89R	5,501,214	03/26/96	SABO			
	90R	5,517,986	05/21/96	STARR et al.			
	91R	5,540,223	07/30/96	STARR et al.			
	92R	5,560,354	10/01/96	BERTHON-JONES et al.			
	93R	5,570,689	11/05/96	STARR et al.			
	94R	Des. 377,089	12/31/96	STARR et al.			
	95R	5,592,938	01/14/97	SCARBERRY et al.			
	96R	5,647,355	07/15/97	STARR et al.			
	97R	5,647,357	07/15/97	BARNETT et al.			
	98R	5,655,527	08/12/97	SCARBERRY et al.			
	99R	5,657,752	08/19/97	LANDIS et al.			
	100R	5,662,101	09/02/97	OGDEN et al.			
	101R	5,685,296	11/11/97	ZDROJKOWSKI, et al.			
	102R	5,687,715	11/18/97	LANDIS, et al.			
	103R	5,715,814	02/10/98	EBERS			

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						Enclosed	No	Enclose	No
JK	104R	WO 80/01044	05/29/80	PCT					
	105R	DD 159396	06/04/81	GERMANY					
	106R	DE 3345067 A1	06/20/84	GERMANY					
	107R	GB 2145335 A	03/27/85	GREAT BRITAIN					
	108R	GB 2147506 A	05/15/85	GREAT BRITAIN					
	109R	EP 0 252 052 A1	01/07/88	EUROPE					
	110R	EP 0 264 772 A1	04/27/88	EUROPE					

**OTHER** (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

JK	111R	Mask 13 Photographs, Healthdyne Technologies.
	112R	Mask 14 Photographs, King System.
	113R	Mask 15 Photographs, Respironics Inc., Pediatric Mask.
	114R	Mask 16 Photographs, Hans Rudolph Inc., Hans Rudolph Silicone Rubber Face Mask/8900.

Examiner

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9/17/04

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**U.S. PATENT DOCUMENTS**

Examiner's Initials*		Document Number	Date MM/YYYY Y	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
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	116R	3,013,556	12/1961	GALLEHER			
	117R	3,580,051	05/1971	BLEVINS			
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	119R	4,616,647	10/1986	McCREADIE			
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	122R	5,046,200	09/1991	FEDER			
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						Enclosed	No	Enclose	No
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	131R	EP0427474 A2	05/15/91	EUROPEAN					
	132R	AU 91/77110 B	11/21/91	AUSTRALIA					
	133R	EP 0 462 701 A1	12/27/91	EUROPE					
	134R	WO 92/20395	11/26/92	PCT					
	135R	WO 93/01854	02/04/93	PCT					
	136R	WO 94/02190	02/03/94	PCT					
	137R	AU 94/64816 B	12/22/94	AUSTRALIA					
	138R	WO 96/17643	06/13/96	PCT					
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	140R	WO 96/39206	12/12/96	PCT					
	141R	WO 97/07847	03/06/97	PCT					
	142R	JP 09/216240 A	08/19/97	JAPAN					

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		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
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DE	143R	DE 459, 104	04/1928	GERMANY					
	144R	1039144	09/1928	CANADIAN					
	145R	1,395,391	05/1975	GREAT BRITAIN					
	146R	GB 1 467 828	03/1977	GREAT BRITAIN					
	147R	EP 0 054 154	10/1981	EUROPEAN					
	148R	DE 3015279 A1	10/1981	GERMANY					
	149R	GB 2 164 569A	03/1986	GREAT BRITAIN					
	150R	FR 2 574 657 A1	06/1986	FRANCE					
	151R	WO 86/06969	12/1986	PCT					
	152R	WO 87/01950	04/1987	PCT					
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	155R	EP 0 386 605 A1	02/28/90	EUROPEAN					
	156R	WO 91/03277	03/21/91	PCT					
	157R	FR 2 658 725 A1	08/31/91	FRANCE					
	158R	WO 92/15353	09/17/92	PCT					
	159R	EP 0 602 424	11/25/93	EUROPEAN					
	160R	GB 2 267 648 A	12/15/93	GREAT BRITAIN					
	161R	EP 0 608 684 A1	08/03/94	EUROPEAN					
	162R	WO 97/41911	11/13/97	PCT					
	163R	WO 94/16759	08/04/94	PCT					

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164R	
165R	
166R	
167R	

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	169R	812,706	02/13/06	WARBASSE			
	170R	1,081,745	12/16/13	JOHNSTON ET AL.			
	171R	1,192,186	07/25/16	GREENE			
	172R	1,653,572	12/20/27	JACKSON			
	173R	2,376,671	05/24/45	FINK			
	174R	2,415,846	02/18/47	RANDALL			
	175R	2,578,621	12/11/51	YANT			
	176R	3,013,556	12/19/61	GALLEHER			
	177R	3,720,235	03/13/73	SCHROCK			
	178R	3,779,164	03/26/74	ROLLINS			
	179R	4,328,797	05/11/82	ROLLINS, III ET AL.			
	180R	4,558,710	12/17/85	EICHLER			
	181R						

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DF	182R	WO 94/20051	09/15/94	PCT					
	183R	EP 0 0697 225	07/13/95	EUROPEAN					
	184R	AU A 32914/95	02/15/96	AUSTRALIA					
	185R	EP 178 925 A2	04/23/96	EUROPEAN					
	186R	WO 96/25983	08/29/96	PCT					
	187R	FR 2 749 176	12/05/97	FRANCE					
	188R	DE 197 35 359	01/29/98	GERMANY					
	189R	EP 0 821 978	02/04/98	EUROPEAN					
	190R	WO 98/04310	02/05/98	PCT					
	191R	WO 98/11930	03/26/98	PCT					

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192R									
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DE	193R	4,616,647	10/14/86	MCCREADLE			
	194R	4,677,975	07/07/87	EDGAR ET AL.			
	195R	Des. 293,613	01/05/88	WINGLER			
	196R	4,739,755	04/26/88	WHITE ET AL.			
	197R	4,799,477	01/24/89	LEWIS			
	198R	4,819,629	04/11/89	JONSON			
	199R	4,910,806	03/27/90	BAKER ET AL.			
	200R	4,938,210	07/30/90	SHENE			
	201R	4,938,212	07/03/90	SNOOK ET AL.			
	202R	4,944,310	07/31/90	SULLIVAN			
	203R	4,986,269	01/22/91	HAKKINEN			
	204R	5,046,200	09/10/91	FEDER			
	205R	5,063,922	11/12/91	HAKKINEN			
	206R	Des. 323,908	02/11/92	HOLLISTER ET AL.			

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	208R	WO 98/18514	05/07/98	PCT						
	209R	WO 98/24499	06/11/98	PCT						
	210R	WO 98/26829	06/25/98	PCT						
	211R	WO 98/26830	06/25/98	PCT						
	212R	DE 297 23 101	07/09/98	GERMANY						
	213R	WO 95/02428	01/26/95	PCT						
	214R	DE 4343205 A1	06/22/95	Germany						
	215R	AU 95/16178 B	07/13/95	Australia						
	216R	AU 9459430	02/29/96	Australia						

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

217R

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DE	218R	5,109,839	05/05/92	BLASDELL ET AL.			
	219R	5,159,938	11/03/92	LAUGHLIN			
	220R	5,178,138	01/12/93	WALSTROM ET AL.			
	221R	5,231,983	08/03/93	MATSON ET AL.			
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						Enclosed	No	Enclose	No
	232R								
	233R								
	234R								
	235R								
	236R								
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	238R								
	239R								
	240R								
	241R								
	242R								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

243R

Examiner

Date Considered:

9/24/04

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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**INFORMATION DISCLOSURE STATEMENT  
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Applicant: KWOK t al

Appln. No.: Continuation of USSN 10/230,169

Filing Date: September 15, 2003

Date: September 15, 2003

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Examiner: D. Erez

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263R	
264R	

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Examiner: D. Erez

Group Art Unit 3761

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		Document Number	Date MM/YYY Y	Country	Inventor Name		Enclosed	No	Enclos	No
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